

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Sandoz AG

Application No./Patent No.: 10/552,858

Filed/Issue Date: October 12, 2005

Titled: Process For The Preparations of Cefepime

Sandoz AG, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)  
the patent application/patent identified above, by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 022617, Frame 0551, or for which a copy therefore is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

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☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

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(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Ludwig Englemer

Printed or Typed Name

15 May 2006  
Date

Patent Attorney

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Christiane Heimerl  
Signature

Christiane Heimerl

Printed or Typed Name

15 MAY 2006  
Date

Patent Attorney

Title

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J:MARK S. GRAHAM COMPANY:P.O. BOX 1871

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APRIL 30, 2009

PTAS

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

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NUMBER OF PAGES: 2BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: IB/G-33166A 64180.US

## ASSIGNOR:

LUDESCHER, JOHANNES

DOC DATE: 09/23/2005

## ASSIGNOR:

STURM, HUBERT

DOC DATE: 09/23/2005

## ASSIGNOR:

WOLF, SIEGFRIED

DOC DATE: 09/23/2005

## ASSIGNEE:

SANDOZ AG  
LICHTSTRASSE 35  
4056 BASEL, SWITZERLAND

SERIAL NUMBER: 10552858

FILING DATE: 10/12/2005

PATENT NUMBER:

ISSUE DATE:

TITLE: PROCESSES FOR THE PREPARATIONS OF CEFEPIME

J:MARK S. GRAHAM COMPANY:P.O. BOX 1871

022617/0551 PAGE 2

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## PATENT ASSIGNMENT

Electronic Version v1.1  
Stylesheet Version v1.104/30/2009  
500848153

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NATURE OF CONVEYANCE:	ASSIGNMENT								
CONVEYING PARTY DATA									
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>Johannes Ludescher</td><td>09/23/2005</td></tr><tr><td>Hubert Sturm</td><td>09/23/2005</td></tr><tr><td>Siegfried Wolf</td><td>09/23/2005</td></tr></tbody></table>		Name	Execution Date	Johannes Ludescher	09/23/2005	Hubert Sturm	09/23/2005	Siegfried Wolf	09/23/2005
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Johannes Ludescher	09/23/2005								
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RECEIVING PARTY DATA									
<table border="1"><tr><td>Name:</td><td>Sandoz AG</td></tr><tr><td>Street Address:</td><td>Lichtstrasse 35</td></tr><tr><td>City:</td><td>4056 Basel</td></tr><tr><td>State/Country:</td><td>SWITZERLAND</td></tr></table>		Name:	Sandoz AG	Street Address:	Lichtstrasse 35	City:	4056 Basel	State/Country:	SWITZERLAND
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Street Address:	Lichtstrasse 35								
City:	4056 Basel								
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PROPERTY NUMBERS Total: 1									
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>10552858</td></tr></tbody></table>		Property Type	Number	Application Number:	10552858				
Property Type	Number								
Application Number:	10552858								
CORRESPONDENCE DATA									
Fax Number: (865)523-4478 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>									
Phone: 865-546-4305									
Email: mgraham@lng-patent.com									
Correspondent Name: Mark S. Graham									
Address Line 1: P.O. Box 1871									
Address Line 4: Knoxville, TENNESSEE 37901									
ATTORNEY DOCKET NUMBER:	IB/G-33166A 64180.US								
NAME OF SUBMITTER:	Mark S. Graham								
Total Attachments: 1 source=64108us-form-ExecutedAssignment#page1.tif									

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Hubert STURM, Edith-Stein-Weg 2, 6020 Innsbruck, Austria, citizen of Austria  
Siegfried WOLF, Judenwiese 4a, 6230 Brixlegg, Austria, citizen of Austria

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to *Sandoz AG, a Company organized under the laws of the Swiss Confederation, of Lichtstrasse 35, 4056 Basel, Switzerland*, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:

PROCESSES FOR THE PREPARATIONS OF CEFEPIME


invented by me/us and described in the international application

No. PCT/ EP2004/003988 filed 15.04.2004

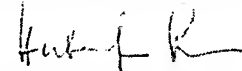
and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said *Sandoz AG*, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said *Sandoz AG*.

Signed on

  
Johannes LUDSCHER

23.04.05

  
Hubert STURM

23.04.05

  
Siegfried WOLF

23.04.05



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**CUSTOMER  
NUMBER:** 84983

**CORRESPONDENCE  
ADDRESS:**

SandozAG (Austria)- LUEDEKA, NEELY & GRAHAM, P.C.  
P.O.BOX 1871  
Knoxville, TN 37901

**FAX:**

**PHONE:** 865-546-4305

**E-MAIL:**

Date Mailed: 12/22/2008

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